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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/576,038	02/02/2007	Heather D. Maynard	861-26-088-2	9676
23935	7590	01/30/2008	EXAMINER	
KOPPEL, PATRICK & HEYBL 555 ST. CHARLES DRIVE SUITE 107 THOUSAND OAKS, CA 91360			HEINCER, LIAM J	
		ART UNIT		PAPER NUMBER
		1796		
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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	Application No.	Applicant(s)
	10/576,038	MAYNARD ET AL.
Examiner	Art Unit	
Liam J. Heincer	1796	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) Responsive to communication(s) filed on 23 January 2007.
- 2a) This action is **FINAL**.
- 2b) This action is non-final.
- 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) Claim(s) 1-23 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) Claim(s) \_\_\_\_\_ is/are allowed.
- 6) Claim(s) 1-14 and 20-23 is/are rejected.
- 7) Claim(s) 15-19 is/are objected to.
- 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on \_\_\_\_\_ is/are: a) accepted or b) objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) All    b) Some \* c) None of:
  1. Certified copies of the priority documents have been received.
  2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- 1) Notice of References Cited (PTO-892)
- 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date 4/2006 and 12/2006.
- 4) Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) Notice of Informal Patent Application
- 6) Other: \_\_\_\_\_.

**DETAILED ACTION**

Claims 11, 12, and 21-23 do not appear to have sufficient support in the parent application PCT/US04/33125 and therefore have been given an effective US filing date of April 14, 2006 (the US filing date of the Continuation in Part application).

**Claim Objections**

Claim 12 is objected to because of the following informalities: there is a typo such that the ")" has been omitted following "carboxyethyl" in the second line. Appropriate correction is required.

**Claim Rejections - 35 USC § 102**

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

- (a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.
- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 21 and 22 are rejected under 35 U.S.C. 102(a) as being anticipated by Heredia et al. (J. Am. Chem. Soc. 2005, 127, 16995-16960).

Considering Claims 21 and 22: Heredia et al. teaches a method for forming a polymer-biomacromolecule conjugate (abstract) comprising reacting a monomer (pg. 16959) with sites on lysozyme (pg. 16959) that have been modified to include polymerization initiation sites (pg. 16959).

Claims 11 and 12 are rejected under 35 U.S.C. 102(a) as being anticipated by Heredia et al. (J. Am. Chem. Soc. 2005, 127, 16995-16960).

Considering Claims 11 and 12: Heredia et al. teaches a method for forming a protein polymer conjugate comprising modifying the protein to have functionality suitable for initiation of radical polymerization (pg. 16957) and reacting the modified protein with the monomer (pg. 16957). Heredia et al. further teaches the protein as being reduced with tris(2-carboxyethyl) phosphine hydrochloride to produce additional thiols on the protein

(pg. 16957), modifying the protein by reacting with pyridyl disulfide in the presence of 2-bromo isobutyrate functionalized resin (pg. 16957, scheme 1(b)), capping any unmodified thiols with maleimide to form a macroinitiator (pg. 16957) and reacting the macroinitiator with a monomer to form the conjugate (pg. 16957).

Claims 1-3, 5, and 21 are rejected under 35 U.S.C. 102(b) as being anticipated by Gololobov et al. (US Pat. 6,433,078).

Considering Claims 1 and 21: Gololobov et al. teaches a method for forming a polymer-enzyme/biomacromolecule conjugate (4:19-23) comprising reacting a monomer (4:45-21) with sites on the enzyme modified to include polymerization initiation sites (4:36-43).

Considering Claims 2 and 3: Gololobov et al. teaches the enzyme/protein as having amino acids (4:36-43).

Considering Claim 5: Gololobov et al. teaches filtering the conjugate/removing unreacted starting materials (8:43-47).

Claim 8 is rejected under 35 U.S.C. 102(b) as being anticipated by Gololobov et al. (US Pat. 6,433,078).

Considering Claim 8: Gololobov et al. teaches a method for forming a polymer-enzyme/protein conjugate (4:19-23) comprising reacting a monomer (4:45-21) with sites on the enzyme modified to include reactive sites (4:36-43).

Claims 9 and 13 are rejected under 35 U.S.C. 102(b) as being anticipated by Gololobov et al. (US Pat. 6,433,078).

Considering Claim 9: Gololobov et al. teaches a method for forming a polymer-enzyme/protein conjugate (4:19-23) comprising reacting a monomer (4:45-21) with sites on the enzyme modified to include vinyl groups/functionality for radical initiation (4:36-43).

Considering Claims 13: Gololobov et al. teaches the monomer as being N-isopropylacrylamide.

Claims 1-3 and 5 are rejected under 35 U.S.C. 102(b) as being anticipated by Kroner et al. (US Pat. 5,260,396).

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Considering Claims 1-3 and 21: Kroner et al. teaches a method for forming a polymer-protein conjugate (2:8-18) comprising reacting a monomer (2:8-18) with sites on the protein modified to include polymerization initiation sites (7:31-38).

Considering Claim 5: Kroner et al. teaches removing unreacted starting materials (4:53-58).

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claim 4 is rejected under 35 U.S.C. 103(a) as being unpatentable over Gololobov et al. (US Pat. 6,433,078) as applied to claim 3 above, and further in view of Matyjaszewski et al. (Chem. Rev. 2001, 101, 2921-2990).

Considering Claim 4: Gololobov et al. teaches the method of claim 3 as shown above.

Gololobov et al. does not teach the modified polymerization site as being an initiator. However, Matyjaszewski et al. teaches reacting an atom transfer radical polymerization initiator as being attached to a macromolecule (pg. 2924). Gololobov et al. and Matyjaszewski et al. are combinable as they are concerned with a similar technical difficulty, namely grafting acrylate monomers onto a macromolecule. It would

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have been obvious to a person having ordinary skill in the art at the time of invention to have used the initiator of Matyjaszewski et al. as the polymerization site of Gololobov et al., and the motivation to do so would have been, as Matyjaszewski et al. suggests, atom transfer radical polymerization has a uniform growth on all chains (pg. 2923).

Claim 10 is rejected under 35 U.S.C. 103(a) as being unpatentable over Gololobov et al. (US Pat. 6,433,078) as applied to claim 9 above, and further in view of Matyjaszewski et al. (Chem. Rev. 2001, 101, 2921-2990).

Considering Claim 10: Gololobov et al. teaches the method of claim 9 as shown above.

Gololobov et al. does not teach the modified polymerisazition site as being an initiator. However, Matyjaszewski et al. teaches reacting an atom transfer radical polymerization initiator as being attached to a macromolecule (pg. 2924) where the initiator functionality is preferably 2-bromoisoburtyrate (pg. 2948). Gololobov et al. and Matyjaszewski et al. are combinable as they are concerned with a similar technical difficulty, namely grafting acrylate monomers onto a macromolecule. It would have been obvious to a person having ordinary skill in the art at the time of invention to have used the initiator of Matyjaszewski et al. as the polymerization site of Gololobov et al., and the motivation to do so would have been, as Matyjaszewski et al. suggests, atom transfer radical polymerization has a uniform growth on all chains (pg. 2923).

Claim 14 is rejected under 35 U.S.C. 103(a) as being unpatentable over Gololobov et al. (US Pat. 6,433,078) as applied to claim 9 above, and further in view of Matyjaszewski et al. (Chem. Rev. 2001, 101, 2921-2990) and Jansen et al. (US Pat. 4,980,457).

Considering Claim 14: Gololobov et al. teaches the method of claim 9 as shown above. Gololobov et al. also teaches the monomer as being N-isopropylacrylamide.

Gololobov et al. does not teach the modified polymerisazition site as being an initiator. However, Matyjaszewski et al. teaches reacting an atom transfer radical polymerization initiator as being attached to a macromolecule (pg. 2924) where the initiator functionality is preferably 2-bromoisoburtyrate (pg. 2948). Gololobov et al. and Matyjaszewski et al. are combinable as they are concerned with a similar technical difficulty, namely grafting acrylate monomers onto a macromolecule. It would have been obvious to a person having ordinary skill in the art at the time of invention to have used the initiator of Matyjaszewski et al. as the polymerization site of Gololobov et al., and

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the motivation to do so would have been, as Matyjaszewski et al. suggests, atom transfer radical polymerization has a uniform growth on all chains (pg. 2923).

Gololobov et al. does not teach attaching the functional group through the propyl mercapto pyridine group of the instant claim. However, Jansen et al. teaches attaching functional groups to a polymer through a disulfide group activated by a pyridine group. Gololobov et al. and Jansen et al. are combinable as they are concerned with the same field of endeavor, namely functionalizing proteins. It would have been obvious to a person having ordinary skill in the art at the time of invention to have used the activated disulfide of Jansen et al. in the method of Gololobov et al., and the motivation to do so would have been, as Jansen et al. suggests, to allow the functionalizing agent to reacted with the thiols of the protein.

Claim 20 is rejected under 35 U.S.C. 103(a) as being unpatentable over Gololobov et al. (US Pat. 6,433,078) in view of Matyjaszewski et al. (Chem. Rev. 2001, 101, 2921-2990).

Considering Claim 20: Gololobov et al. teaches a polymer-enzyme/protein conjugate (4:19-23) comprising reacting a monomer (4:45-21) with sites on the enzyme modified to include reactive sites (4:36-43).

Gololobov et al. does not teach the modified polymerisazition site as being an initiator. However, Matyjaszewski et al. teaches reacting an atom transfer radical polymerization initiator as being attached to a macromolecule (pg. 2924). Gololobov et al. and Matyjaszewski et al. are combinable as they are concerned with a similar technical difficulty, namely grafting acrylate monomers onto a macromolecule. It would have been obvious to a person having ordinary skill in the art at the time of invention to have used the initiator of Matyjaszewski et al. as the polymerization site of Gololobov et al., and the motivation to do so would have been, as Matyjaszewski et al. suggests, atom transfer radical polymerization has a uniform growth on all chains (pg. 2923).

Claims 22 and 23 are rejected under 35 U.S.C. 103(a) as being unpatentable over Gololobov et al. (US Pat. 6,433,078) as applied to claims 2 and 21 above, and further in view of Hoffman et al. (US Pat. 5, 988, 588).

Gololobov et al. teaches the method of claims 2 and 21 as shown above.

Considering Claim 22: Gololobov et al. does not teach the enzyme as being lysozyme. However, Hoffman et al. teaches using lysozyme in a polymer-bimolecule conjugate

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(10:20-25). Gololobov et al. and Hoffman et al. are combinable as they are concerned with the same field of endeavor, namely polymer-enzyme conjugates. It would have been obvious to a person having ordinary skill in the art at the time of invention to have used lysozyme in the conjugate of Gololobov et al. as in Hoffman et al., and the motivation to do so would have been, as Hoffman et al. suggests, lysozyme is pH sensitive, providing a environmentally responsive conjugate (10:20-25).

Considering Claim 23: Gololobov et al. does not teach the biomolecule as being an antibody. However, Hoffman et al. teaches using antibodies in polymer-biomolecule conjugates (3:2-8). It would have been obvious to a person having ordinary skill in the art at the time of invention to have used an antibody in the conjugate of Gololobov et al. as in Hoffman et al., and the motivation to do so would have been, as Hoffman et al. suggests, antibodies are presented as being functionally equivalent to enzymes in the conjugate (3:2-8).

Claims 4, 6, and 7 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kroner et al. (US Pat. 5,260,396) as applied to claim 3 above, and further in view of Matyjaszewski et al. (Chem. Rev. 2001, 101, 2921-2990).

Considering Claim 4: Kroner et al. teaches the method of claim 3 as shown above.

Kroner et al. does not teach the modified polymerisazition site as being an initiator. However, Matyjaszewski et al. teaches reacting an atom transfer radical polymerization initiator as being attached to a macromolecule (pg. 2924). Kroner et al. and Matyjaszewski et al. are combinable as they are concerned with a similar technical difficulty, namely grafting acrylate monomers onto a macromolecule. It would have been obvious to a person having ordinary skill in the art at the time of invention to have used the initiator of Matyjaszewski et al. as the polymerization site of Kroner et al., and the motivation to do so would have been, as Matyjaszewski et al. suggests, atom transfer radical polymerization has a uniform growth on all chains (pg. 2923).

Considering Claims 6 and 7: Kroner et al. teaches adding a water-insoluble non-interactive initiator to remove the remaining monomers from the mixture (4:53-58).

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. See PTO form 892.

**Allowable Subject Matter**

Claims 15-19 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

The prior art of record does not disclose a bromoisobutyrate-modified solid phase resin being used in a process of modifying a protein with a bromoisobutyrate-modified ligand initiator. Kroner et al. teaches adding a water-insoluble non-interactive initiator to remove the remaining monomers in a graft polymerization process for forming a protein polymer graft copolymer. However, there is nothing in the prior art of record to suggest using the bromoisobutyrate-modified solid phase resin as the water-insoluble non-interacting initiator of claim 15.

**Correspondence**

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Liam J. Heincer whose telephone number is 571-270-3297. The examiner can normally be reached on Monday thru Friday 7:30 to 5:00 EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mark Eashoo can be reached on 571-272-1197. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

LJH

December 21, 2007



MARK EASHOO, PH.D.  
SUPERVISORY PATENT EXAMINER

22/Jan/08